NORTH CAROLINA WAKE COUNTY

BEFORE THE N.C. ETHICS COMMISSION 15-C-0005(E)

IN THE MATTER OF:
Patrick L. McCrory
Respondent.

NOTICE OF DISMISSAL

THIS CAUSE, coming before the North Carolina State Ethics Commission (Commission), by and through its duly-constituted Probable Cause Panel (Panel) on October 7 and 13, 2015, pursuant to North Carolina General Statute (N.C.G.S.) § 138A-12(g) and (h), and 30 NCAC 9B .0101, and it appearing to the Commission that:

- 1. On January 12, 2015, Gerrick Brenner (Complainant) filed a complaint with the Commission against Patrick L. McCrory (Respondent).
- 2. Respondent is the Governor of the State of North Carolina, a constitutional officer of the State, and a "covered person" under North Carolina General Statutes (N.C.G.S.) Chapter 138A, the State Government Ethics Act (Ethics Act).
- 3. The complaint alleged various instances in which Respondent failed to disclose information on his 2008, 2012, 2013, and 2014 Statements of Economic Interest.
- 4. Pursuant to N.C.G.S. 138A-12(a1), Respondent was immediately notified of the allegations.
- 5. On January 27, 2015, in accordance with N.C.G.S. § 138A-12(d), the Commission initiated a preliminary inquiry into the complaint and notified Respondent.
- 6. Pursuant to N.C.G.S. § 138A-12(d), (f), and (g), on February 19, 2015, the Panel reviewed the information resulting from the preliminary inquiry and determined to proceed with further inquiry regarding the complaint.
- 7. Pursuant to N.C.G.S. § 138A-12(g), on February 27, 2015, Complainant and Respondent were informed of the Panel's decision, and Respondent was informed of his opportunity to submit a written response to the complaint. On April 27, 2015, Respondent submitted his response.
- 8. Pursuant to N.C.G.S. § 138A-12(h), Commission staff conducted an investigation regarding the complaint.
- 9. All relevant information, including the complaint, response, and the results of the Commission staff's investigation, was presented to the Panel on October 7 and 13, 2015.

- 10. After reviewing all relevant information, the Panel determined that probable cause did not exist that Respondent violated the Ethics Act or other laws over which the Commission has jurisdiction.
- 11. The Panel's deliberations and determinations are confidential pursuant to N.C.G.S. § 138A-12(l) and (n).

Therefore, the Commission does not find probable cause that Respondent violated the Ethics Act or other laws over which the Commission has jurisdiction. Accordingly, pursuant to N.C.G.S. § 138A-12(h) and the rules of the Commission, the complaint is dismissed for lack of probable cause.

Written notice of this dismissal will be provided to all appropriate persons or entities listed in N.C.G.S. § 138A-12(l).

As provided by N.C.G.S. § 138A-12(l) and (n), the complaint, notice of dismissal, and all other related information and documentation are confidential and not public records.

This the _____ day of October, 2015.

NC-STATE ETHICS COMMISSION

Perry Y. Newson, Executive Director

For the Panel

Pursuant to the State Government Ethics Act and other laws, complaints and all correspondence and information related thereto are *confidential*.

NORTH CAROLINA WAKE COUNTY

BEFORE THE N.C. ETHICS COMMISSION 15-C-0018(E)

IN THE MATTER OF: Patrick L. McCrory Respondent.

NOTICE OF DISMISSAL

THIS CAUSE, coming before the North Carolina State Ethics Commission (Commission), by and through its duly-constituted Probable Cause Panel (Panel) on October 7 and 13, 2015, pursuant to North Carolina General Statute (N.C.G.S.) § 138A-12(g) and (h), and 30 NCAC 9B .0101, and it appearing to the Commission that:

- 1. On March 9, 2015, Gerrick Brenner (Complainant) filed a complaint with the Commission against Patrick L. McCrory (Respondent).
- 2. Respondent is the Governor of the State of North Carolina, a constitutional officer of the State, and a "covered person" under North Carolina General Statutes (N.C.G.S.) Chapter 138A, the State Government Ethics Act (Ethics Act).
- 3. The complaint alleged that Respondent failed to report in his Statements of Economic Interest filed in 2013 and 2014 scholarships received in connection with his attendance at meetings of, or associated with, the Republican Governors Association.
- 4. Pursuant to N.C.G.S. 138A-12(a1), Respondent was immediately notified of the allegations.
- 5. On March 23, 2015, in accordance with N.C.G.S. § 138A-12(d), the Commission initiated a preliminary inquiry into the complaint and notified Respondent.
- 6. Pursuant to N.C.G.S. § 138A-12(d), (f), and (g), on April 14, 2015, the Panel reviewed the information resulting from the preliminary inquiry and determined to proceed with further inquiry regarding the complaint.
- 7. Pursuant to N.C.G.S. § 138A-12(g), on April 17, 2015, Complainant and Respondent were informed of the Panel's decision, and Respondent was informed of his opportunity to submit a written response to the complaint. On June 17, 2015, Respondent submitted his response.
- 8. Pursuant to N.C.G.S. § 138A-12(h), Commission staff conducted an investigation regarding the complaint.
- 9. All relevant information, including the complaint, response, and the results of the Commission staff's investigation, was presented to the Panel on October 7 and 13, 2015.

- 10. After reviewing all relevant information, the Panel determined that probable cause did not exist that Respondent violated the Ethics Act or other laws over which the Commission has jurisdiction.
- 11. The Panel's deliberations and determinations are confidential pursuant to N.C.G.S. § 138A-12(l) and (n).

Therefore, the Commission does not find probable cause that Respondent violated the Ethics Act or other laws over which the Commission has jurisdiction. Accordingly, pursuant to N.C.G.S. § 138A-12(h) and the rules of the Commission, the complaint is dismissed for lack of probable cause.

Written notice of this dismissal will be provided to all appropriate persons or entities listed in N.C.G.S. § 138A-12(1).

As provided by N.C.G.S. § 138A-12(l) and (n), the complaint, notice of dismissal, and all other related information and documentation are confidential and not public records.

This the 4 day of October, 2015.

NC STATE ETHICS COMMISSION

Perry Y. Newson, Executive Director

For the Panel

Pursuant to the State Government Ethics Act and other laws, complaints and all correspondence and information related thereto are *confidential*.